Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

Title	Simulca	st Pari-Mutuel Gaming Machine With Casino tery Styled Wagers For Continuous Play				
First Nam	ed Inventor	Kenneth A. Scott et al.				
Attorney	Docket No.	269.020				
-						

(Only for new nonprovisional applications under 37 CFR 1.53(b))				And Lottery Styled Wagers For Continuous Play					
			Express N	Mail Label No.	EV3130387	705US			
APPLICATION ELEMENTS				ADDRESS TO: Mail Stop - Box Patent Application Commissioner For Patents					
See MPEP chapter 600 concerning utility patent application contents.				P.O. Box 1450 Alexandria, VA 22313-1450					
(Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)			8. () Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
	Applicant claims small entity status See 37 CFR 1.27			a. () Computer Readable Form (CRF)					
· ' (preferred arrangement set forth below, MPEP	ges <u>21</u>] 1503.01)		b. () Specification Sequence Listing on: i. () CD-ROM or CD-R (2 copies); or					
	 Descriptive title of the invention Cross Reference to Related Application 	ns		ii.	() paper		2 4		
	 Statement Regarding Fed sponsored F Reference to sequence listing, a table, 		r	c. () Statement verifying identity of above copies					
_	program listing appendix Background of the Invention	·		ACCC	MPANYING	APPLIC	ATION PARTS		
-	Brief Summary of the Invention			9. () Assig	nment Papers	(cover she	eet & document(s))		
	 Brief Description of the Drawings (if file Detailed Description Claims 	ea)			FR 3.73(b) Sta there is an assig		() Power of Attorney		
	Abstract of the Disclosure			11. () Engli	sh Translation	Document	t (if applicable)		
5. (x) Oat	· · · · · · · · · · · · · · · · · · ·	s <u>5</u>] I Pages <u>2</u>	1	12. () Information Disclosure () Copies of IDS Statement (IDS)/PTO-1449 Citations					
,) Newly executed (original or copy) Copy from a prior application (37.0)	ED 1 63 (4))		13. () Preliminary Amendment					
b. () Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 16 completed)			14. (X) Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
i. () <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting			15. () Certified Copy of Priority Document(s)						
inventor(s) named in the prior application, see			(if foreign priority is claimed) 16. () Request and Certification under 35 U.S.C. 122						
37 CFR 1.63 (d)(2) and 1.33 (b). 6. () Application Data Sheet. See 37 CFR 1.76				iest and Certifi !)(B)(i) – Applic					
7. () CD-ROM or CD-R in duplicate, large table or Computer Program					SB/35 or its e				
(App	endix)			17. () Othe	r:				
16. If a CC	ONTINUING APPLICATION, check appro				below and in a pr	eliminary an	nendment, or in an		
() (• •	Data Sheet ur () Continu			ication No:	_/			
For CONTIN	oplication information: Examiner IUATION or DIVISIONAL APPS only: The eddered a part of the disclosure of the accome on can only be relied upon when a portion h	panying conti	inuation or d	or application, from	n and is hereby	or declaration		٨.	
				NCE ADDRESS					
⊠ Custom	ner Number or Bar Code Label	ert Customer		o no de abel here)	or 🗆 C	Correspond	lence address below		
Name	Peter C. Stomma	710	235	98		-			
Address	250 E Wisconsin Avenue								
	Suite 1030								
City	Milwaukee	State	WI		Z	ip Code	53202		
Country		Telephone	(414) 225-9755		Fax	(414) 225-9753		
Name (Pr	int/Type) Peter C. Stomma		R	egistration No.	(Attorney/Age	ent) 36,	020		
Signature		7				Date 7	16/03		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Design, Washington, DC 20231.

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	f	or	FY	200	3	

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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(\$)375.00	

Complete if Known						
Application Number						
Filing Date						
First Named Inventor	Kenneth A. Scott et al.					
Examiner Name						
Group Art Unit						
Attomey Docket No.	269.018					

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any overpayments to:	1	Large Small				
Deposit Account Number	Fee Code	Entity Fee (\$)	Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Boyle, Fredrickson, Newholm, Stein & Gratz S.C.	105	130	205	65	Surcharge – late filing fee or oath	
Name X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
X Applicant claims small entity status. See 37 CFR 1.27	139	130	139	130	Non-English specification	
2. X Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination	
X Check Credit Card Money Order Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month]]
Large Entity Small Entity	116	400	216	200	Extension for reply within second month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	920	217	460	Extension for reply within third month	
101 750 201 375 Utility filing fee 375	118	1,440	218	720	Extension for reply within fourth month	
106 330 206 165 Design filing fee	128	1,960	228	980	Extension for reply within fifth month	
107 520 207 260 Plant filing fee		320	219	160	Notice of Appeal	
108 750 208 375 Reissue filing fee		320	220	160	Filing a brief in support of an appeal	
114 160 214 80 Provisional filing fee	121	280	221	140	Request for oral hearing	
	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 375	140	110	240	55	Petition to revive – unavoidable	
2. EXTRA CLAIM FEES	141	1,280	241	640	Petition to revive - unintentional	
Fee from Extra Claims below Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)	
Total Claims 20 -20**≈ 0 x 0 = 0	-	460	243	230	Design issue fee	
Independent Claims 3 - 3**= 0 X 0 = 0	144	620	244	310	Plant issue fee	
Multiple = = = = = = = = = = = = = = = = = = =	122	130	122	130	Petitions to the Commissioner	
	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	126	180	126	180	Submission of Information Disclosure Stmt	
103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	
102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
109 84 209 42 **Reissue independent claims over original patent	179	740	279	370	Request for Continued Examination (RCE)	
110 18 210 9 **Reissue claims in excess of 20 and over original patent	169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$-0-	Other	fee (spe	ify) _			·
** or number previously paid, if greater; For Reissues, see above	*Reduc	ed by Bas	ic Filing F	ee Paid	SUBTOTAL (3) (\$)-0-	

SUBMITTED BY	Complete (if a)	Complete (if applicable)			
Name (Print/Type)	Peter C. Stomma	Registration No. (Attorney/Agent)	36,020	Telephone	(414) 225-9755
Signature				Date	7/10/03

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Certification Under 37 CFR 1.10

Express Mail Label No: EV313038705US

Date of Deposit: July 10, 2003

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the MAIL STOP - BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Christine Kierzeh Signature